

## Stephan Shipe, Ph.D., CFA

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### Academic Appointments

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**Wake Forest University**  
Assistant Teaching Professor of Finance

Winston-Salem, NC  
July 2019 - Current

**University of New Hampshire**  
Assistant Professor of Finance

Durham, NH  
August 2016 – July 2019

### Education

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**Florida State University**  
**Ph.D.** in Finance  
*Support Area: Econometrics*

Tallahassee, FL  
May 2016

**University of North Florida**  
**B.B.A.** in Finance  
*Summa Cum Laude*

Jacksonville, FL  
May 2012

### Publications

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- [1] “Ex-post Bargaining, Corporate Cash Holdings, and Executive Compensation”, *Journal of Financial and Quantitative Analysis*, Forthcoming, (with Cheng, Y., Harford, J., and Hutton, I.)
- [2] “Compensation of Investment Advisors”, *Journal of Investing* (2018), 27, 80-86. (with Lahtinen, K.D.)
- [3] “Readability of Financial Advisor Disclosures”, *Journal of Empirical Finance* (2017), 44, 36-42. (with Lahtinen, K.D.)

### Working papers

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- [4] “The impact of product markets and gender on investment behavior” with Daniel Bradley and Kyre Lahtinen

*Presentations: Financial Management Association Annual Meeting 2019 (semifinalist for Best Paper Award and Top 10 Session), University of New Hampshire, Southern Finance Association 2019, Wright State University\*, University of South Alabama\*, Texas State University\*.*

[5] “Active Cash Management and Firm Value”, with Yingmei Cheng

*Presentations: Florida Finance Conference, University of New Hampshire, James Madison University, Utah State University, Clemson University, Southern Finance Association 2017.*

[6] “Minding the (\$500,000) gap: Accounting for the gender-driven gap in executive severance agreements” with Kelsey Medeiros, Jennifer Griffith, Matthew Crayne, and Tristan McIntosh

\*Presentation by coauthor

## **Works in Progress**

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“Fee Tables and Investor Comprehension of Fees” with Kyre Lahtinen, Nathan Mauck, and Leigh Salzsieder

“Fiduciary Duty: Evidence from state registered investment advisors”

## **Teaching Experience**

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### Wake Forest University

- **Applied Quantitative Analysis for Finance (FIN203)**
  - Average evaluation: 4.58 out of 5.0
  - Average number of students: 26
  - Number of semesters taught: 2 (Fall 2019, Spring 2020, Fall 2020)

### University of New Hampshire

- **Big Data in Finance (ACFI720)**
  - Average evaluation: 4.8 out of 5.0
  - Average number of students: 22
  - Number of semesters taught: 6 (Spring 2017, Fall 2017, Spring 2018, Summer 2018 online, Spring 2019, Summer 2019 online)
- **Introduction to Financial Management (ADM570)**
  - Average evaluation: 4.4 out of 5.0
  - Average number of students: 67
  - Number of semesters taught: 5 (Fall 2016, Spring 2017, Fall 2017, Spring 2018, Spring 2019)
- **Big Data and Fintech (ADM898, MBA Course)**
  - Average evaluation: 4.5 out of 5.0
  - Average number of students: 20
  - Number of semesters taught: 1 (Summer 2018 online)

### Florida State University

- **Financial Management of the Firm (FIN3403)**
  - Average number of students: 79
  - Average evaluation: 4.8 out of 5.0
  - Number of sections taught: 6 (Summer 2013, Summer 2014, Fall 2014, Spring 2015, Summer 2015, Fall 2015 (2 sections))

- **Hospitality Financial Management (HFT3424)**
  - Average number of students: 90
  - Average evaluation: 3.9 out of 5.0
  - Number of semesters taught: 1 (Spring 2016)
- **Fundamentals of Personal Finance (FIN5108)**, Spring 2014 (*Teaching Assistant*)
- **Bloomberg training and lectures to faculty, MSF students, and undergraduate students**, 2012, 2013, 2014, 2015
- **Doctoral Student Bootcamp** (SAS, Stata, Bloomberg, and database training for incoming finance and accounting PhD students), Summer 2015

## Service

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- Practice Exam Writer (Level 2), *Boston CFA Society*, 2019-2020
- Practice Exam Writer (Level 3), *Chartered Financial Analysts Association*, 2020
- Member of the working group for investment advisor disclosure regulation, *Secretary of the Commonwealth of Massachusetts*, 2018-19
- Chair of the Finance and Administration Committee, *UNH Faculty Senate*, 2019
- Faculty Senator, *UNH Faculty Senate*, 2017-19 (2-year term)
- Honors Program Thesis Advisor (x2), *UNH Peter T. Paul College of Business*, 2018
- Open Educational Resources (OER) Ambassador, *UNH Academic Technology*, 2018-19
- Bloomberg Trading Challenge Faculty Advisor, *UNH Peter T. Paul College of Business*, 2019
- Secretary, *UNH Accounting and Finance Department*, 2017

## Conference Participation and Attendance

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- Financial Management Association Annual Meeting 2019, New Orleans, LA: *Presenter and Discussant*
- Southern Finance Association 2019, Orlando, FL, *Program Committee, Discussant, and Presenter*
- Financial Management Association Annual Meeting 2018, San Diego, CA
- Southern Finance Association 2017, Key West, FL: *Presenter and Discussant*
- Financial Management Association Annual Meeting 2017, Boston, MA
- Financial Management Association Annual Meeting 2015, Orlando, FL
- Florida Finance Conference 2015, Tampa, FL: *Presenter*
- American Finance Association Conference 2015, Boston, MA: *Doctoral Student Travel Grant*
- Financial Management Association Annual Meeting 2014, Nashville TN: *Session Chair, Presenter, and Discussant*
- Florida Finance Conference 2014, Tampa FL: *Discussant*
- Financial Management Association Annual Meeting 2013, Chicago IL: *Discussant*

## Media Mentions and Invited Presentations

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“Ask the Experts: Unsecured Credit Cards”, **WalletHub**, 2020

Panelist (Fee Disclosure), *Annual Investment Advisor Training, Commonwealth of Massachusetts*, 2019

“*What’s in your Wallet*”, *Seacoast News*, 2018

### **Honors, Awards, and Certifications**

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- CFA Charterholder, *CFA Institute*
- RA Funding Award, *UNH Peter T. Paul College of Business*, 2018, 2019
- Fundamentals of Online Instruction Certificate of Completion, *UNH Academic Technology*, 2018
- Writing Intensive Instruction Retreat, *UNH Writing Center*, 2018
- Registered Investment Advisor Representative, *State of New Hampshire 2018-2019*
- Doctoral Student Travel Grant, *American Finance Association Annual Meeting*, 2015
- Department Nominee for College of Business Doctoral Student Teaching Award, *Florida State University*, 2015
- Series 65 License (Uniform Investment Adviser Law Examination), *NASAA*, 2015
- Bloomberg certified in equities, fixed income, commodities, and currencies
- Finance Department Service Award, *Florida State University*, 2014
- First Year Doctoral Student Grant, *Florida State University*, 2012
- Outstanding Senior in Finance, *University of North Florida*, 2012
- PNC Bank Scholarship, *University of North Florida*, 2011

### **Professional Affiliations**

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Financial Management Association

American Finance Association

CFA Institute

Southern Finance Association